



116

PATENT  
Atty. Dkt. No.: 7451.0010-01  
InterTrust Ref. No.: IT-14.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Victor H. Shear, et al. )  
Serial No.: 09/498,369 ) Group Art Unit: 2768  
Filed: February 4, 2000 ) Examiner: Unassigned  
For: SYSTEMS AND METHODS FOR )  
MATCHING, SELECTING, )  
NARROWCASTING AND/OR )  
CLASSIFYING BASED ON RIGHTS )  
AND/OR OTHER INFORMATION )

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
AUG 2 2000  
Group 2700

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Enclosed is a copy of an Official Filing Receipt that was received from the U.S. Patent and Trademark Office. The Receipt has been reviewed and one or more errors have been found. Please correct the inventors addresses to read the following:

David M. Van Wie, **Eugene, OR** (address was updated on Response to Notice to File Missing Parts - copy attached)

Robert P. Weber, Menlo Park, CA

A copy of the Filing Receipt with the requested changes in red is attached.

It is respectfully requested that a Corrected Official Filing Receipt be issued as soon as possible.

LAW OFFICES  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.L.P.  
STANFORD RESEARCH PARK  
700 HANSEN WAY  
PALO ALTO, CALIF. 94304  
650-849-6800

PATENT  
Atty. Dkt. No.: 7451.0010-01  
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Also enclosed is a copy of the Response to Notice to File Missing Parts as well as the Declaration and Power of Attorney.

Since the error is on the part of the Patent Office, no fee is required.

However, if it is determined that any fees are due in connection with the filing of this Request, please charge such fees to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: June 22, 2000

By:   
Linda J. Thayer  
Reg. No.: 45,681

LAW OFFICES  
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650-849-6600

CPY/LJT

## FILING RECEIPT



\*OC00000005182200\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/498,369	02/04/2000	2768	2002	07451.0010-01000	96	51	11

Finnegan Henderson  
 Farabow Garrett & Dunner LLP  
 1300 I Street N W  
 Washington, DC 20005-3315



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Date Mailed: 06/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Victor H. Shear, Bethesda, MD ;  
 David M. Van Wie, Sunnyvale, CA ;  
 Robert P. Weber, Menlo Park, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/965,185 11/06/1997

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JUN 20 2000

## Foreign Applications

If Required, Foreign Filing License Granted 04/11/2000

FINNEGAN, HENDERSON, FARABOW,  
GARRETT AND DUNNER, LLP

## Title

Systems and methods for matching, selecting, narrowcasting, and/or classifying based on rights management and/or other information

## Preliminary Class

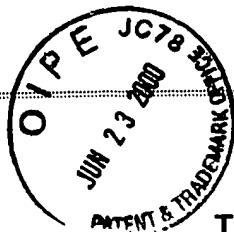
705

Data entry by : DIXON, DOROTHY

Team : OIPE

Date: 06/16/2000

Dixie  
Dixie  
6/16/00



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

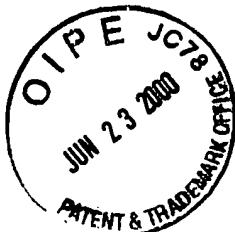
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Victor H. Shear, et al.

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 MANAGEMENT AND/OR OTHER INFORMATION



Group Art Unit: 2768

Examiner: Unassigned

Assistant Commissioner for Patents

Washington, D.C. 20231

Attention: **BOX MISSING PARTS****RECEIVED**

AUG 2 2000

Group 2700

**RESPONSE TO NOTICE TO FILE  
 MISSING PARTS OF APPLICATION**

In response to the communication of April 11, 2000, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, the required fee of \$130.00, and a copy of the Notice of Missing Parts. Please associate the enclosed declaration with the above identified application.

The Declaration enclosed herewith is a copy of the Declaration filed with parent application Serial No. 08/965,185, filed November 6, 1997. One of the inventors has since moved and the address on the Declaration is incorrect. The new address is as follows:

**David M. Van Wie: 1780 East 25<sup>th</sup> Avenue, Eugene Oregon 97403**

**Residence Address: Eugene, OR**

Filed concurrently herewith is a Revocation of Original Power of Attorney and Grant of New Power of Attorney whereby the Assignee hereby revokes all powers of attorney previously given and appoints Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. as attorneys of record.

## LAW OFFICES

Finnegan, Henderson,  
 Farabow, Garrett,  
 & Dunner, L.L.P.  
 1300 I STREET, N.W.  
 WASHINGTON, DC 20005  
 202-408-4000

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: June 8, 2000

By:

Linda J. Thayer  
Reg. No. 45,681